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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PHASE OF  
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: PM 271055 /Z.703339/UST  
M# /Client Ref.

From: Pillsbury Madison & Sutro LLP, IP Group:

Date: November 2, 2000

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

1. International Application	2. International Filing Date	3. Earliest Priority Date Claimed
<u>PCT/GB99/01308</u>	<u>27 April 1999</u>	<u>2 May 1998</u>
<u>↑ country code</u>	Day MONTH Year	Day MONTH Year (use item 2 if no earlier priority)

4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

- (a) ☐ 20 months from above item 3 date (b) ☒ 30 months from above item 3 date,  
(c) Therefore, the due date (unextendable) is November 2, 2000

5. Title of Invention HETEROCYCLIC DERIVATIVES WHICH INHIBIT FACTOR XA

6. Inventor(s) CAULKETT, Peter W.R. et al

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. ☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).
8. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:
- a. ☒ Request;
  - b. ☒ Abstract;
  - c. 34 pgs. Spec. and Claims;
  - d.        sheet(s) Drawing which are ☐ informal ☐ formal of size ☐ A4 ☐ 11"
9. ☒ A copy of the International Application has been transmitted by the International Bureau.
10. A translation of the International Application into English (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith including: (1) ☐ Request; (2) ☐ Abstract;  
(3)        pgs. Spec. and Claims;  
(4)        sheet(s) Drawing which are:  
☐ informal ☐ formal of size ☐ A4 ☐ 11"
  - b. ☐ is not required, as the application was filed in English.
  - c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
  - d. ☐ Translation verification attached (not required now).

11. ☒ **PLEASE AMEND** the specification before its first line by inserting as a separate paragraph:  
a. ☒ --This application is the national phase of international application PCT/GB99/01308 filed April 27, 1999 which designated the U.S.--  
b. ☐ --This application also claims the benefit of U.S. Provisional Application No. 60/         , filed          --
12. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., **before 18th month** from first priority date above in item 3, are transmitted herewith (file only if in English) including:
13. ☒ PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. ☐ Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments** made before 18th month, is attached (**required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled**).
15. **A declaration of the inventor** (35 U.S.C. 371(c)(4))  
a. ☐ is submitted herewith ☐ Original ☐ Facsimile/Copy  
b. ☒ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
16. **An International Search Report (ISR):**  
a. Was prepared by ☒ European Patent Office ☐ Japanese Patent Office ☐ Other  
b. ☒ has been transmitted by the international Bureau to PTO.  
c. ☒ copy herewith (2 pg(s).) ☒ plus Annex of family members (1 pg(s).).
17. **International Preliminary Examination Report (IPER):**  
a. ☒ has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.  
b. ☒ copy herewith in English.  
c.1 ☐ IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:  
c.2 ☐ Specification/claim pages #          claims #           
Dwg Sheets #           
d. ☐ Translation of Annex(es) to IPER (**required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled**).
18. **Information Disclosure Statement** including:  
a. ☒ Attached Form PTO-1449 listing documents  
b. ☐ Attached copies of documents listed on Form PTO-1449  
c. ☒ A concise explanation of relevance of ISR references is given in the ISR.
19. ☐ **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.
20. ☐ Copy of Power to IA agent.
21. ☐ **Drawings** (complete only if 8d or 10a(4) not completed):          sheet(s) per set: ☐ 1 set informal;  
☐ Formal of size ☐ A4 ☐ 11"
22. Small Entity Status ☐ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)  
22(a)          (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)
23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) GREAT BRITAIN of:
- |     | <u>Application No.</u> | <u>Filing Date</u> |     | <u>Application No.</u> | <u>Filing Date</u>       |
|-----|------------------------|--------------------|-----|------------------------|--------------------------|
| (1) | <u>9809351.1</u>       | <u>May 2, 1998</u> | (2) | <u>9903337.5</u>       | <u>February 16, 1999</u> |
| (3) | <u>        </u>        | <u>        </u>    | (4) | <u>        </u>        | <u>        </u>          |
| (5) | <u>        </u>        | <u>        </u>    | (6) | <u>        </u>        | <u>        </u>          |
- a. ☒ See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, **please proceed promptly to obtain same from the IB**.  
b. ☐ Copy of Form PCT/IB/304 attached.

RE: USA National Filing of PCT/GB99/01308

24. Attached: 2 copies of Form PCT/IB/306

25. Preliminary Amendment:

25.5 Per Item 17.c2, cancel original pages # \_\_\_\_\_, claims # \_\_\_\_\_, Drawing Sheets # \_\_\_\_\_26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☐ 17, ☐ 25, ☐ 25.5 (hilitte)

Total Effective Claims	minus 20 =	x \$18/\$9	= \$0	966/967
Independent Claims	minus 3 =	x \$80/\$40	= \$0	964/965
If any proper (ignore improper) Multiple Dependent claim is present,		add \$270/\$135	+0	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): → → BASIC FEE REQUIRED, NOW → → → ↓

A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA" ↓

See item 16 re: ↓

1. Search Report was <u>not</u> prepared by EPO or JPO	-----	add \$1000/\$500		960/961
2. Search Report was prepared by EPO or JPO	-----	add \$860/\$430	+860	970/971

SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA" ↓

→ ☐ B. If USPTO did not issue both International Search Report (ISR) and (if box 4(b) above is X'd) the International Examination Report (IPER), ----- add \$970/\$485 +0 960/961

(only)  
(one) → ☐ C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), ----- add \$710/\$355 +0 958/959  
(of)

(these)  
( 4 ) → ☐ D. If USPTO issued IPER but IPER Sec. V boxes not all 3 YES, ----- add \$690/\$345 +0 956/957  
(boxes)

→ ☐ E. If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) satisfied (IPER Sec. V all 3 boxes YES for all claims), ----- add \$100/\$50 +0 962/963

27. SUBTOTAL = \$860

28. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +0 (581)

29. Attached is a check to cover the ----- TOTAL FEES \$860

Our Deposit Account No. 03-3975

Our Order No. 9901

C#

271055

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filedPillsbury Madison & Sutro LLP  
Intellectual Property Group

1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: DJB/mhn

By Atty: Donald J. Bird

Sig:

Reg. No. 25323

Fax: (202) 822-0944  
Tel: (202) 861-3027NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.

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## HETEROCYCLIC DERIVATIVES WHICH INHIBIT FACTOR XA

The invention relates to heterocyclic derivatives, or pharmaceutically-acceptable salts thereof, which possess antithrombotic and anticoagulant properties and are accordingly  
5 useful in methods of treatment of humans or animals. The invention also relates to processes for the preparation of the heterocyclic derivatives, to pharmaceutical compositions containing them and to their use in the manufacture of medicaments for use in the production of an antithrombotic or anticoagulant effect.

The antithrombotic and anticoagulant effect produced by the compounds of the  
10 invention is believed to be attributable to their strong inhibitory effect against the activated coagulation protease known as Factor Xa. Factor Xa is one of a cascade of proteases involved in the complex process of blood coagulation. The protease known as thrombin is the final protease in the cascade and Factor Xa is the preceding protease which cleaves prothrombin to generate thrombin.

15 Certain compounds are known to possess Factor Xa inhibitory properties and the field has been reviewed by R.B. Wallis, Current Opinion in Therapeutic Patents, 1993, 1173-1179. Thus it is known that two proteins, one known as antistatin and the other known as tick anticoagulant protein (TAP), are specific Factor Xa inhibitors which possess antithrombotic properties in various animal models of thrombotic disease.

20 It is also known that certain non-peptidic compounds possess Factor Xa inhibitory properties. Of the low molecular weight inhibitors mentioned in the review by R.B. Wallis, all possessed a strongly basic group such as an amidinophenyl or amidinonaphthyl group.

We have now found that certain heterocyclic derivatives possess Factor Xa inhibitory activity. Many of the compounds of the present invention also possess the  
25 advantage of being selective Factor Xa inhibitors, that is the enzyme Factor Xa is inhibited strongly at concentrations of test compound which do not inhibit or which inhibit to a lesser extent the enzyme thrombin which is also a member of the blood coagulation enzymatic cascade.

The compounds of the present invention possess activity in the treatment or  
30 prevention of a variety of medical disorders where anticoagulant therapy is indicated, for example in the treatment or prevention of thrombotic conditions such as coronary artery and

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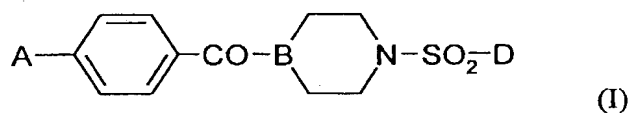
cerebro-vascular disease. Further examples of such medical disorders include various cardiovascular and cerebrovascular conditions such as myocardial infarction, the formation of atherosclerotic plaques, venous or arterial thrombosis, coagulation syndromes, vascular injury including reocclusion and restenosis following angioplasty and coronary artery bypass

- 5 surgery, thrombus formation after the application of blood vessel operative techniques or after general surgery such as hip replacement surgery, the introduction of artificial heart valves or on the recirculation of blood, cerebral infarction, cerebral thrombosis, stroke, cerebral embolism, pulmonary embolism, ischaemia and angina (including unstable angina).

The compounds of the invention are also useful as inhibitors of blood coagulation in  
 10 an ex-vivo situation such as, for example, the storage of whole blood or other biological samples suspected to contain Factor Xa and in which coagulation is detrimental.

The compound 1-(5-chlorobenzofuran-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine is disclosed as a Factor Xa inhibitor in PCT Application No.97/03033, which published after the two priority dates claimed in this application.

- 15 Accordingly in one aspect the present invention provides compounds of formula (I)



wherein:

- 20 A is a 5- or 6-membered monocyclic aromatic ring containing 1, 2 or 3 ring heteroatoms selected from nitrogen, oxygen and sulphur atoms and is unsubstituted or is substituted by one, two or three atoms or groups selected from halo (for example fluoro, chloro or bromo), oxo, carboxy, trifluoromethyl, cyano, amino, hydroxy, nitro, C<sub>1-4</sub>alkyl (for example methyl or ethyl), C<sub>1-4</sub>alkoxy (for example methoxy or ethoxy), C<sub>1-4</sub>alkoxycarbonyl, C<sub>1-4</sub>alkylamino (for  
 25 example methylamino or ethylamino), di-C<sub>1-4</sub>alkylamino (for example dimethylamino or diethylamino) or aminoC<sub>1-4</sub>alkyl (for example aminomethyl or aminoethyl);  
 the 1,4-phenylene ring of a compound of formula (I) is either unsubstituted or is substituted by one or two substituents selected from halo, trifluoromethyl, trifluoromethoxy, cyano, nitro, C<sub>1-4</sub>alkyl, C<sub>2-4</sub>alkenyl and C<sub>2-4</sub>alkynyl, from the substituent -(CH<sub>2</sub>)<sub>n</sub> Y<sup>1</sup> wherein n is 0-4 and  
 30 Y<sup>1</sup> is selected from hydroxy, amino, carboxy, C<sub>1-4</sub>alkoxy, C<sub>2-4</sub>alkenyloxy, C<sub>2-4</sub>alkynyloxy,

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$C_{1-4}$ alkylamino, di- $C_{1-4}$ alkylamino, pyrrolidin-1-yl, piperidino, morpholino, thiomorpholino, 1-oxothiomorpholino, 1,1-dioxothiomorpholino, piperazin-1-yl, 4- $C_{1-4}$ alkylpiperazin-1-yl,  $C_{1-4}$ alkylthio,  $C_{1-4}$ alkylsulphinyl,  $C_{1-4}$ alkylsulphonyl,  $C_{2-4}$ alkanoylamino, benzamido,  $C_{1-4}$ alkylsulphonamido and phenylsulphonamido, from the substituent  $-(CH_2)_n Y^2$  wherein n is  
 5 0-4 and  $Y^2$  is selected from carboxy, carbamoyl,  $C_{1-4}$ alkoxycarbonyl,  $\underline{N}$ - $C_{1-4}$ alkylcarbamoyl,  $\underline{N,N}$ -di- $C_{1-4}$ alkylcarbamoyl, pyrrolidin-1-ylcarbonyl, piperidinocarbonyl, morpholinocarbonyl, thiomorpholinocarbonyl, 1-oxothiomorpholinocarbonyl, 1,1-dioxothiomorpholinocarbonyl, piperazin-1-ylcarbonyl, 4- $C_{1-4}$ alkylpiperazin-1-ylcarbonyl,  $C_{1-4}$ alkylsulphonamidocarbonyl, phenylsulphonamidocarbonyl and  
 10 benzylsulphonamidocarbonyl, from a substituent of the formula  $-X^3-L^2-Y^2$  wherein  $X^3$  is a group of the formula  $CON(R^5)$ ,  $CON(L^2-Y^2)$ ,  $C(R^5)_2O$ , O,  $N(R^5)$  or  $N(L^2-Y^2)$ ,  $L^2$  is  $C_{1-4}$ alkylene,  $Y^2$  has any of the meanings defined immediately hereinbefore and each  $R^5$  is independently hydrogen or  $C_{1-4}$ alkyl, and from a substituent of the formula  $-X^3-L^3-Y^1$  wherein  $X^3$  is a group of the formula  $CON(R^5)$ ,  $CON(L^3-Y^1)$ ,  $C(R^5)_2O$ , O,  $N(R^5)$  or  $N(L^3-Y^1)$ ,  $L^3$  is  
 15  $C_{2-4}$ alkylene,  $Y^1$  has any of the meanings defined immediately hereinbefore and each  $R^5$  is independently hydrogen or  $C_{1-4}$ alkyl, and wherein any heterocyclic group in a substituent of the 1,4-phenylene ring of compounds of formula (I) optionally bears 1 or 2 substituents selected from carboxy, carbamoyl,  $C_{1-4}$ alkyl,  $C_{1-4}$ alkoxycarbonyl,  $\underline{N}$ - $C_{1-4}$ alkylcarbamoyl and  $\underline{N,N}$ -di- $C_{1-4}$ alkylcarbamoyl, and wherein any phenyl group in a substituent of the  
 20 1,4-phenylene ring of compounds of formula I optionally bears 1 or 2 substituents selected from halo, trifluoromethyl, cyano,  $C_{1-4}$ alkyl,  $C_{2-4}$ alkenyl,  $C_{2-4}$ alkynyl,  $C_{1-4}$ alkoxy,  $C_{2-4}$ alkenyloxy and  $C_{2-4}$ alkynyloxy;

B is CH or N;

25

the heterocyclic ring containing B is either unsubstituted or is substituted by one or two substituents selected from hydroxy, oxo, carboxy and  $C_{1-4}$ alkoxycarbonyl; or one of the following:

$-(CH_2)_n-R$ ,  $-(CH_2)_n-NRR^1$ ,  $-CO-R$ ,  $-CO-NRR^1$ ,  $-(CH_2)_n-CO-R$  and  $-(CH_2)_n-CO-NRR^1$ ;

30 wherein n is 0, 1 or 2, preferably n is 1 or 2;

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R and R<sup>1</sup> are independently selected from hydrogen, C<sub>1-4</sub>alkyl, C<sub>2-4</sub>alkenyl, C<sub>2-4</sub>alkynyl, hydroxyC<sub>1-4</sub>alkyl, carboxyC<sub>1-4</sub>alkyl and C<sub>1-4</sub>alkoxycarbonylC<sub>1-4</sub>alkyl or where possible R and R<sup>1</sup> may together form a 5- or 6-membered optionally substituted saturated or partially unsaturated (preferably unsaturated) heterocyclic ring which may include in addition to the  
 5 nitrogen to which R and R<sup>1</sup> are attached 1 or 2 additional heteroatoms selected from nitrogen, oxygen and sulphur;

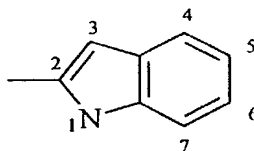
D is 2-indolyl, 2-benzimidazolyl, 2-benzo[b]furanyl, 2-pyrrolo[2,3-b]pyridyl, 2-furo[2,3-b]pyridyl or 6-7H-cyclopenta[b]pyridyl and is unsubstituted or is substituted by one, two or three substituents selected from halo, trifluoromethyl, trifluoromethoxy, cyano,  
 10 hydroxy, oxo, amino, nitro, trifluoromethylsulphonyl, carboxy, carbamoyl, C<sub>1-4</sub>alkyl, C<sub>2-4</sub>alkenyl, C<sub>2-4</sub>alkynyl, C<sub>1-4</sub>alkoxy, C<sub>2-4</sub>alkenyloxy, C<sub>2-4</sub>alkynyloxy, C<sub>1-4</sub>alkylthio, C<sub>1-4</sub>alkylsulphinyl, C<sub>1-4</sub>alkylsulphonyl, C<sub>1-4</sub>alkylamino, di-C<sub>1-4</sub>alkylamino, C<sub>1-4</sub>alkoxycarbonyl, N-C<sub>1-4</sub>alkylcarbamoyl, N,N-di-C<sub>1-4</sub>alkylcarbamoyl, C<sub>2-4</sub>alkanoyl, C<sub>2-4</sub>alkanoylamino, hydroxyC<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxyC<sub>1-4</sub>alkyl, carboxyC<sub>1-4</sub>alkyl,  
 15 C<sub>1-4</sub>alkoxycarbonylC<sub>1-4</sub>alkyl, carbamoylC<sub>1-4</sub>alkyl, N-C<sub>1-4</sub>alkylcarbamoylC<sub>1-4</sub>alkyl, N,N-di-C<sub>1-4</sub>alkylcarbamoylC<sub>1-4</sub>alkyl, phenyl, heteroaryl, phenoxy, phenylthio, phenylsulphinyl, phenylsulphonyl, benzyl, benzoyl, heteroaryloxy, heteroarylthio, heteroarylsulphinyl and heteroarylsulphonyl, and wherein said heteroaryl substituent or the heteroaryl group in a heteroaryl-containing substituent is a 5- or 6-membered monocyclic  
 20 heteroaryl ring containing up to 3 heteroatoms selected from nitrogen, oxygen and sulphur, and wherein said phenyl, heteroaryl, phenoxy, phenylthio, phenylsulphinyl, phenylsulphonyl, heteroaryloxy, heteroarylthio, heteroarylsulphinyl, heteroarylsulphonyl, benzyl or benzoyl substituent optionally bears 1, 2 or 3 substituents selected from halo, trifluoromethyl, cyano, hydroxy, amino, nitro, carboxy, carbamoyl, C<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxy, C<sub>1-4</sub>alkylamino,  
 25 di-C<sub>1-4</sub>alkylamino, C<sub>1-4</sub>alkoxycarbonyl, N-C<sub>1-4</sub>alkylcarbamoyl, N,N-di-C<sub>1-4</sub>alkylcarbamoyl and C<sub>2-4</sub>alkanoylamino;  
 and excluding the compound 1-(5-chlorobenzofuran-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine;  
 and pharmaceutically acceptable salts thereof.

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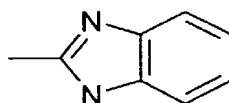
For the avoidance of doubt substituents D are drawn below:

- 5 -

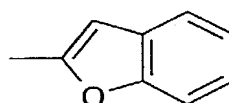
2-indolyl



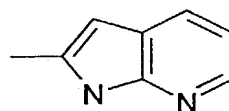
2-benzimidazolyl



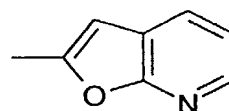
2-benzo[b]furanyl



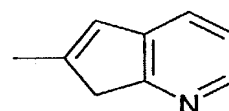
2-pyrrolo[2,3-b]pyridyl



5 2-furo[2,3-b]pyridyl



6-7H-cyclopenta[b]pyridyl



In this specification the term “alkyl” includes both straight and branched chain alkyl groups but references to individual alkyl groups such as “propyl” are specific for the straight chain version only. An analogous convention applies to other generic terms.

It is to be understood that certain heterocyclic derivatives of the present invention can exist in solvated as well as unsolvated forms such as, for example, hydrated forms. It is to be understood that the invention encompasses all such solvated forms which possess Factor Xa inhibitory activity.

It is further to be understood that, insofar as certain of the compounds of the formula defined above may exist in optically active or racemic forms by virtue of one or more asymmetric carbon atoms, the invention encompasses any such optically active or racemic form which possesses Factor Xa inhibitory activity. The synthesis of optically active forms may be carried out by standard techniques of organic chemistry well known in the art, for



example by synthesis from optically active starting materials or by resolution of a racemic form.

For the avoidance "oxo" as used herein defines the substituent "=O". For the avoidance of doubt substituents on A may also be present, where possible, on the  
5 heteroatom of the ring, such as, for example, N-oxides.

Preferably A is an optionally substituted 5- or 6-membered monocyclic aromatic ring containing 1, 2 or 3 ring nitrogen atoms. Preferably A is a pyridyl, pyrimidinyl, imidazolyl or pyridazinyl ring for example 2-pyridyl, 3-pyridyl, 4-pyridyl, 3-pyridazinyl, 4-pyridazinyl, 4-pyrimidinyl, 5-pyrimidinyl, 1-imidazolyl, 2-imidazolyl or 4-imidazolyl. Of  
10 these 4-pyrimidinyl, 4-pyridazinyl, 1-imidazolyl, 4-imidazolyl and 4-pyridyl are preferred.

Preferred substituents of A are C<sub>1-4</sub>alkyl, oxo, amino and halo. Preferably substituents are C<sub>1-4</sub>alkyl, amino and halo. Preferably A is unsubstituted.

Preferably the 1,4-phenylene ring of a compound of formula I is substituted by carboxy, C<sub>1-4</sub>alkoxy or C<sub>1-4</sub>alkoxycarbonyl. Preferably the 1,4-phenylene ring of a  
15 compound of formula I is unsubstituted.

In a particular aspect the heterocyclic ring formed by R and R<sup>1</sup> on a substituent on the heterocyclic ring containing B is preferably selected from 1-pyrrolidinyl, 1-imidazolinyl, 1-piperidino, 1-piperazinyl, 4-morpholino and 4-thiomorpholino. In a particular aspect the heterocyclic ring formed by R and R<sup>1</sup> may be unsubstituted. In an alternative aspect the ring  
20 formed by R and R<sup>1</sup> is substituted by 1 or 2 substituents selected from oxo, hydroxy and carboxy. Preferably the heterocyclic ring containing B is substituted by oxo, carboxy, C<sub>1-4</sub>alkoxy or C<sub>1-4</sub>alkoxycarbonyl. Preferably the heterocyclic ring containing B is unsubstituted.

Preferably D is substituted by halo. Preferably the halo substituent is bromo or  
25 chloro and preferably at a position equivalent to the 5-position as numbered on the indole ring.

Suitable values for optional substituents for the 1,4-phenylene ring and D of compounds of formula I are:

for C <sub>1-4</sub> alkyl:	methyl, ethyl and propyl;
30 for C <sub>1-4</sub> alkoxycarbonyl:	methoxycarbonyl, ethoxycarbonyl, propoxycarbonyl and <u>tert</u> -butoxycarbonyl;

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	for <u>N</u> -C <sub>1-4</sub> alkylcarbamoyl:	<u>N</u> -methylcarbamoyl, <u>N</u> -ethylcarbamoyl and <u>N</u> -propylcarbamoyl;
	for <u>N,N</u> -di-C <sub>1-4</sub> alkylcarbamoyl:	<u>N,N</u> -dimethylcarbamoyl, <u>N</u> -ethyl- <u>N</u> -methylcarbamoyl and <u>N,N</u> -diethylcarbamoyl;
5	for hydroxyC <sub>1-4</sub> alkyl:	hydroxymethyl, 1-hydroxyethyl, 2-hydroxyethyl and 3-hydroxypropyl;
	for C <sub>1-4</sub> alkoxyC <sub>1-4</sub> alkyl:	methoxymethyl, ethoxymethyl, 1-methoxymethyl, 2-methoxyethyl, 2-ethoxyethyl and 3-methoxypropyl;
10	for carboxyC <sub>1-4</sub> alkyl:	carboxymethyl, 1-carboxyethyl, 2-carboxyethyl and 3-carboxypropyl;
	for C <sub>1-4</sub> alkoxycarbonylC <sub>1-4</sub> alkyl:	methoxycarbonylmethyl, <u>tert</u> -butoxy- carbonylmethyl, 1-methoxycarbonylethyl, 1-ethoxycarbonylethyl, 2-methoxycarbonylethyl, 2-ethoxycarbonylethyl, 3-methoxycarbonylpropyl and 3-ethoxycarbonylpropyl;
20	for carbamoylC <sub>1-4</sub> alkyl:	carbamoylmethyl, 1-carbamoylethyl, 2-carbamoylethyl and 3-carbamoylpropyl;
	for <u>N</u> -C <sub>1-4</sub> alkylcarbamoylC <sub>1-4</sub> alkyl:	<u>N</u> -methylcarbamoylmethyl, <u>N</u> -ethylcarbamoylmethyl, <u>N</u> -propylcarbamoylmethyl, 1-( <u>N</u> -methylcarbamoyl)ethyl, 1-( <u>N</u> -ethylcarbamoyl)ethyl, 2-( <u>N</u> -methylcarbamoyl)ethyl, 2-( <u>N</u> -ethylcarbamoyl)ethyl and 3-( <u>N</u> -methylcarbamoyl)propyl;
25		
30		

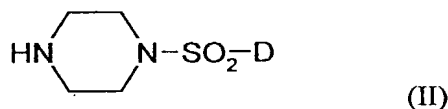
- 30 A heterocyclic derivative of formula I, or pharmaceutically-acceptable salt thereof, may be prepared by any process known to be applicable to the preparation of related

compounds. Such procedures are provided as a further feature of the invention and are illustrated by the following representative processes in which, unless otherwise stated A, B, and D have any of the meanings defined hereinbefore wherein any functional group, for example amino, alkylamino, carboxy or hydroxy, is optionally protected by a protecting group which may be removed when necessary.

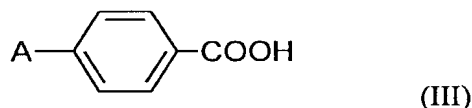
Necessary starting materials may be obtained by standard procedures of organic chemistry and by reference to the processes used in the Examples.

According to another aspect, the present invention provides a process for preparing a compound of formula (I) or a pharmaceutically acceptable salt thereof, which comprises:

- (a) For the production of those compounds of the formula (I) wherein B is N, the reaction, conveniently in the presence of a suitable base, of an amine of formula (II)



with an acid of the formula (III)



- or a reactive derivative thereof.

A suitable reactive derivative of an acid of the formula (III) is, for example, an acyl halide, for example an acyl chloride formed by the reaction of the acid and an inorganic acid chloride, for example thionyl chloride; a mixed anhydride, for example an anhydride formed by the reaction of the acid with a chloroformate such as isobutyl chloroformate or with an activated amide such as 1,1'-carbonyldiimidazole; an active ester, for example an ester formed by the reaction of the acid and a phenol such as pentafluorophenol, an ester such as pentafluorophenyl trifluoroacetate or an alcohol such as N-hydroxybenzotriazole or N-hydroxysuccinimide; an acyl azide, for example an azide formed by the reaction of the acid and an azide such as diphenylphosphoryl azide; an acyl cyanide, for example a cyanide formed by the reaction of an acid and a cyanide such as diethylphosphoryl cyanide; or the product of the reaction of the acid and a carbodiimide such as N,N'-dicyclohexylcarbodiimide or N-(3-dimethylaminopropyl)-N'-ethyl-carbodiimide.

The reaction is conveniently carried out in the presence of a suitable base such as, for example, an alkali or alkaline earth metal carbonate, also preferably carried out in a suitable inert solvent or diluent, for example methylene chloride, and at a temperature in the range, for example, -78° to 150°C, conveniently at or near ambient temperature.

5 A suitable protecting group for an amino or alkylamino group is, for example, an acyl group, for example an alkanoyl group such as acetyl, an alkoxycarbonyl group, for example a methoxycarbonyl, ethoxycarbonyl or tert-butoxycarbonyl group, an arylmethoxycarbonyl group, for example benzyloxycarbonyl, or an aroyl group, for example benzoyl. The deprotection conditions for the above protecting groups necessarily vary with  
10 the choice of protecting group. Thus, for example, an acyl group such as an alkanoyl or alkoxycarbonyl group or an aroyl group may be removed for example, by hydrolysis with a suitable base such as an alkali metal hydroxide, for example lithium or sodium hydroxide. Alternatively an acyl group such as a tert-butoxycarbonyl group may be removed, for example, by treatment with a suitable acid such as hydrochloric, sulphuric, phosphoric acid  
15 or trifluoroacetic acid and an arylmethoxycarbonyl group such as a benzyloxycarbonyl group may be removed, for example, by hydrogenation over a catalyst such as palladium-on-carbon, or by treatment with a Lewis acid for example boron tris(trifluoroacetate). A suitable alternative protecting group for a primary amino group is, for example, a phthaloyl group which may be removed by treatment with an alkylamine, for  
20 example dimethylaminopropylamine, or with hydrazine.

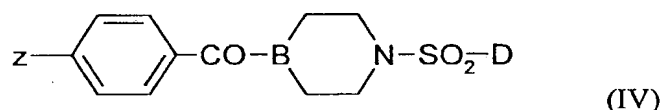
A suitable protecting group for a hydroxy group is, for example, an acyl group, for example an alkanoyl group such as acetyl, an aroyl group, for example benzoyl, or an arylmethyl group, for example benzyl. The deprotection conditions for the above protecting groups will necessarily vary with the choice of protecting group. Thus, for example, an acyl  
25 group such as an alkanoyl or an aroyl group may be removed, for example, by hydrolysis with a suitable base such as an alkali metal hydroxide, for example lithium or sodium hydroxide. An arylmethyl group such as a benzyl group may be removed, for example, by hydrogenation over a catalyst such as palladium-on-carbon.

A suitable protecting group for a carboxy group is, for example, an esterifying  
30 group, for example a methyl or an ethyl group which may be removed, for example, by hydrolysis with a base such as sodium hydroxide, or for example a tert-butyl group which

may be removed, for example, by treatment with an acid, for example an organic acid such as trifluoroacetic acid, or for example a benzyl group which may be removed, for example, by hydrogenation over a catalyst such as palladium-on-carbon.

(b) The reaction of a compound of the formula (IV):

5



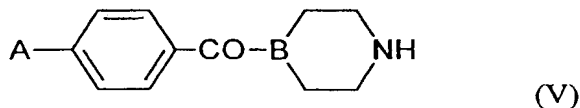
wherein Z is a displaceable group such as halo, with an activated derivative of ring A. Suitable activated derivatives include metalised derivatives, such as with zinc or tin, and  
 10 borane derivatives. The activated derivative of ring A is reacted with a compound of the formula (IV) to effect cross coupling where Z is triflate or a halo group, such as iodo, bromo or chloro. Suitably the reaction is catalysed by use of a transition state metal catalyst, such as palladium, for example tetrakis (triphenylphosphine) palladium (0).

Alternatively it is possible that ring A contains the displaceable group Z and the  
 15 phenyl ring is activated, and the reaction performed as described above.

Compounds of the formula (IV) not suitable for this method are those which contain a halo substituent on any of the rings.

(c) By forming A ring on compounds of formula (IV), wherein Z is a functional group capable of cyclisation. Suitable reagents and conditions are described in Brederick H.  
 20 Chem.Ber.; 96, 1505, (1963); Fuchigami, T., Bull. Chem. Soc. Jpn., 49, p3607, (1976); Huffman, K.R., J. Org. Chem., 28, p1812, (1963); Palusso, G., Gazz. Chim. Ital., 90, p1290, (1960) and Ainsworth C., J.Het.Chem., 3, p470, (1966). Such reactions are particularly suited to the formation of 5-membered A rings. Processes suitable for synthesis of starting materials in such cyclisation reactions are described, for example, in Zhang M.Q. et.al; J.Heterocyclic.  
 25 Chem.; 28, 673, (1991) and Kosugi, M. et al., Bull. Chem. Soc. Jpn., 60, 767-768 (1987).

(d) The reaction of a compound of the formula (V):



with a compound of the formula (VI):



wherein Z is a displaceable group for example chloro, under conditions similar to those of  
5 process (a) above.

When a pharmaceutically-acceptable salt of a compound of the formula (I) is required, it may be obtained, for example, by reaction of said compound with a suitable acid or base using a conventional procedure.

When an optically active form of a compound of the formula (I) is required, it may  
10 be obtained, for example, by carrying out one of the aforesaid procedures using an optically active starting material or by resolution of a racemic form of said compound using a conventional procedure, for example by the formation of diastereomeric salts, use of chromatographic techniques, conversion using chirally specific enzymatic processes, or by addition of temporary extra chiral group to aid separation.

15 As stated previously, the compounds of the formula (I) are inhibitors of the enzyme Factor Xa. The effects of this inhibition may be demonstrated using one or more of the standard procedures set out hereinafter:-

a) Measurement of Factor Xa Inhibition

20 An in vitro assay system based on the method of Kettner et al., J. Biol. Chem., 1990, 265, 18289-18297, whereby various concentrations of a test compound are dissolved in a pH7.5 buffer containing 0.5% of a polyethylene glycol (PEG 6000) and incubated at 37°C with human Factor Xa (0.001 Units/ml, 0.3 ml) for 15 minutes. The chromogenic substrate S-2765 (KabiVitrum AB, 20 µM) is added and the mixture is incubated at 37°C for 20  
25 minutes whilst the absorbance at 405 nm is measured. The maximum reaction velocity (Vmax) is determined and compared with that of a control sample containing no test compound. Inhibitor potency is expressed as an IC<sub>50</sub> value.

b) Measurement of Thrombin Inhibition

The procedure of method a) is repeated except that human thrombin (0.005 Units/ml) and the  
30 chromogenic substrate S-2238 (KabiVitrum AB, 7 µM) are employed.

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c) Measurement of Anticoagulant Activity

An in vitro assay whereby human, rat or rabbit venous blood is collected and added directly to a sodium citrate solution (3.2 g/100 ml, 9 parts blood to 1 part citrate solution). Blood plasma is prepared by centrifugation (1000 g, 15 minutes) and stored at 2-4°C. Conventional prothrombin time (PT) tests are carried out in the presence of various concentrations of a test compound and the concentration of test compound required to double the clotting time, hereinafter referred to as CT<sub>2</sub>, is determined. In the PT test, the test compound and blood plasma are incubated at 37°C for 10 minutes. Tissue thromboplastin with calcium (Sigma Limited, Poole, England) is added and fibrin formation and the time required for a clot to form are determined.

d) Rat Disseminated Intravascular Coagulation in vivo activity test:

Fasted male Alderley Park rats (300-450 g) are pre-dosed by oral gavage (5 mls/kg) with compound or vehicle (5% DMSO/PEG200) at various times before being anaesthetised with Intraval® (120 mg/kg i.p.). The left jugular vein and the right carotid artery are exposed and cannulated. A 1 mL blood sample is taken from the carotid canular into 3.2% trisodium citrate. 0.5 mL of the whole blood is then treated with EDTA and used for platelet count determination whilst the remainder is centrifuged (5 mins, 20000g) and the resultant plasma frozen for subsequent drug level, fibrinogen or thrombin antithrombin (TAT) complex determinations. Recombinant human tissue factor (Dade Innovin Cat.B4212-50), reconstituted to the manufacturers specification, is infused (2 mL/kg/hr) into the venous canular for 60 minutes. Immediately after the infusion is stopped a 2 mL blood sample is taken and platelet count, drug level, plasma fibrinogen concentration and TAT complex are determined as before. Platelet counting is performed using at Coulter T540 blood analyser. Plasma fibrinogen and TAT levels are determining using a clotting assay (Sigma Cat.880-B) and TAT ELISA (Behring) respectively. The plasma concentration of the compound is bioassayed using human Factor Xa and a chromogenic substrate S2765 (Kabi), extrapolated from a standard curve (Fragmin) and expressed in Anti-Factor Xa units. The data is analysed as follows; tissue factor-induced reductions in platelet count are normalised with respect to pre-dose platelet count and drug activity expressed as a percent inhibition of tissue factor-induced thrombocytopenia when compared to vehicle treated animals. Compounds are active if there is statistically significant ( $p < 0.05$ ) inhibition of TF-induced thrombocytopenia.



e) An ex vivo Assay of Anticoagulant Activity

The test compound is administered intravenously or orally to a group of Alderley Park Wistar rats. At various times thereafter animals are anaesthetised, blood is collected and PT coagulation assays analogous to those described hereinbefore are conducted.

5 f) An in vivo Measurement of Antithrombotic Activity

Thrombus formation is induced using an analogous method to that described by Vogel et al., Thromb. Research, 1989, 54, 399-410. A group of Alderley Park Wistar rats is anaesthetised and surgery is performed to expose the vena cava. Collateral veins are ligated and two loose sutures are located, 0.7 cm apart, round the inferior vena cava. Test  
10 compound is administered intravenously or orally. At an appropriate time thereafter tissue thromboplastin (30 µl/kg) is administered via the jugular vein and, after 10 seconds, the two sutures are tightened to induce stasis within the ligated portion of vena cava. After 10 minutes the ligated tissue is excised and the thrombus therein is isolated, blotted and weighed.

15 Example 1 showed an  $IC_{50}$  in test a) of  $0.005\mu M$  and in test b) a CT2 (PT) against human thrombin of  $15\mu M$ .

A feature of the invention is a compound of formula (I), or a pharmaceutically acceptable salt thereof, for use in medical therapy.

According to a further feature of the invention there is provided a pharmaceutical  
20 composition which comprises a heterocyclic derivative of formula (I), or a pharmaceutically-acceptable salt thereof, in association with a pharmaceutically-acceptable diluent or carrier.

The composition may be in a form suitable for oral use, for example a tablet, capsule, aqueous or oily solution, suspension or emulsion; for topical use, for example a  
25 cream, ointment, gel or aqueous or oily solution or suspension; for nasal use, for example a snuff, nasal spray or nasal drops; for vaginal or rectal use, for example a suppository; for administration by inhalation, for example as a finely divided powder such as a dry powder, a microcrystalline form or a liquid aerosol; for sub-lingual or buccal use, for example a tablet or capsule; or for parenteral use (including intravenous, subcutaneous, intramuscular,  
30 intravascular or infusion), for example a sterile aqueous or oily solution or suspension. In

general the above compositions may be prepared in a conventional manner using conventional excipients.

The amount of active ingredient (that is a heterocyclic derivative of the formula (I), or a pharmaceutically-acceptable salt thereof) that is combined with one or more excipients to produce a single dosage form will necessarily vary depending upon the host treated and the particular route of administration. For example, a formulation intended for oral administration to humans will generally contain, for example, from 0.5 mg to 2 g of active agent compounded with an appropriate and convenient amount of excipients which may vary from about 5 to about 98 percent by weight of the total composition. Dosage unit forms will generally contain about 1 mg to about 500 mg of an active ingredient.

According to a further feature of the invention there is provided a heterocyclic derivative of formula (I), or a pharmaceutically-acceptable salt thereof, for use in a method of treatment of the human or animal body by therapy.

The invention also includes the use of such an active ingredient in the production of a medicament for use in:-

- (i) producing a Factor Xa inhibitory effect;
- (ii) producing an anticoagulant effect;
- (iii) producing an antithrombotic effect;
- (iv) treating a Factor Xa mediated disease or medical condition;
- (v) treating a thrombosis mediated disease or medical condition;
- (vi) treating coagulation disorders; and/or
- (vii) treating thrombosis or embolism involving Factor Xa mediated coagulation.

The invention also includes a method of producing an effect as defined hereinbefore or treating a disease or disorder as defined hereinbefore which comprises administering to a warm-blooded animal requiring such treatment an effective amount of an active ingredient as defined hereinbefore.

The size of the dose for therapeutic or prophylactic purposes of a compound of the formula (I) will naturally vary according to the nature and severity of the medical condition, the age and sex of the animal or patient being treated and the route of administration, according to well known principles of medicine. As mentioned above, compounds of the formula (I) are useful in the treatment or prevention of a variety of medical disorders where

anticoagulant therapy is indicated. In using a compound of the formula (I) for such a purpose, it will generally be administered so that a daily oral dose in the range, for example, 0.5 to 100 mg/kg body weight/day is received, given if required in divided doses. In general lower doses will be administered when a parenteral route is employed, for example a dose  
5 for intravenous administration in the range, for example, 0.01 to 10 mg/kg body weight/day will generally be used. For preferred and especially preferred compounds of the invention, in general, lower doses will be employed, for example a daily dose in the range, for example, 0.1 to 10 mg/kg body weight/day. In general a preferred dose range for either oral or parenteral administration would be 0.01 to 10 mg/kg body weight/day.

10 Although the compounds of formula (I) are primarily of value as therapeutic or prophylactic agents for use in warm-blooded animals including man, they are also useful whenever it is required to produce an anticoagulant effect, for example during the ex-vivo storage of whole blood or in the development of biological tests for compounds having anticoagulant properties.

15 The compounds of the invention may be administered as a sole therapy or they may be administered in conjunction with other pharmacologically active agents such as a thrombolytic agent, for example tissue plasminogen activator or derivatives thereof or streptokinase. The compounds of the invention may also be administered with, for example, a known platelet aggregation inhibitor (for example aspirin, a thromboxane antagonist or a  
20 thromboxane synthase inhibitor), a known hypolipidaemic agent or a known anti-hypertensive agent.

The invention will now be illustrated in the following Examples in which, unless otherwise stated:-

(i) yields are given for illustration only and are not necessarily the maximum  
25 attainable;

(ii) the end-products have satisfactory microanalyses and their structures were confirmed by nuclear magnetic resonance (NMR) and mass spectral techniques (MS). Chemical shift values were measured on the delta scale; the following abbreviations have been used: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet;

30 (iii) intermediates were not generally fully characterised and purity was assessed by thin layer chromatographic, infra-red (IR) or NMR analysis; and

(iv) melting points were determined using a Mettler SP62 automatic melting point apparatus or an oil-bath apparatus; melting points for the end-products of the formula I were generally determined after crystallisation from a conventional organic solvent such as ethanol, methanol, acetone, ether or hexane, alone or in admixture.

5

**Example 1****1-(5-Chlorobenzo[b]furan-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl]piperazine**

A stirred suspension of 4-(4-pyridyl)benzoic acid (133 mg, 0.67 mmol) in dimethylformamide (5 ml) was treated sequentially with 1-hydroxybenzotriazole hydrate  
10 (HOBT, 108 mg, 0.8 mmol), 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (EDAC, 153 mg, 0.8 mmol) and 1-(5-chlorobenzo[b]furan-2-ylsulphonyl) piperazine (201 mg, 0.67 mmol). After stirring overnight the solvent was removed *in vacuo* and the residue chromatographed (Merck Art 9385 silica, eluting with dichloromethane containing 2% v/v of methanol) to yield 1-(5-chlorobenzo[b]furan-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl]  
15 piperazine as a colourless solid (40 mg), <sup>1</sup>H NMR (CDCl<sub>3</sub>) 3.2-3.4ppm (broad s, 4H), 3.6-4.0 ppm (broad s, 4H), 7.35ppm (s, 1H), 7.5ppm (m, 6H), 7.7ppm (m, 3H), 8.7ppm (d, 2H), MS (M+H)<sup>+</sup> 482/484.

The requisite 1-(5-chlorobenzo[b]furan-2-ylsulphonyl) piperazine starting material  
20 was prepared as follows. A stirred solution of piperazine (1.15g, 13.4 mmol) and triethylamine (4.7 ml, 46.5 mmol) in dichloromethane (30 ml) was cooled to ~5 °C, and a solution of  
5-chlorobenzo[b]furan-2-sulphonyl chloride (1.69g, 7.8 mmol) in dichloromethane (10 ml) was added. Stirring was continued for 15 mins, and the reaction mixture then allowed to warm  
25 to ambient temperature over 2 hrs with stirring. Water was added to the reaction mixture, and the organic layer separated; this was washed with water (twice), brine (once), then dried (MgSO<sub>4</sub>), filtered and evaporated to give a yellow gum. This was chromatographed (Merck Art 9385 silica, eluting with dichloromethane containing increasing amounts of methanol, up to 10% v/v) to give a yellow solid; trituration with diethyl ether gave 5-chlorobenzo[b]furan-  
30 2-ylsulphonyl piperazine as a colourless solid (1.11g) which was used without further

purification, <sup>1</sup>H NMR (CDCl<sub>3</sub>) 2.8 - 3.0ppm (t, 4H), 3.2-3.4 ppm (t, 4H), 7.3ppm (s, 1H), 7.45ppm (dd, 2H), 7.7ppm (s, 1H); MS (M+H)<sup>+</sup> 301/303.

The requisite 5-chlorobenzo[b]furan-2-sulphonyl chloride starting material was prepared as described in European Patent Application 0 355 827 (Mochida, Hydantoin 5 derivatives).

### Example 2

#### 1-(5-Chlorobenzo[b]furan-2-ylsulphonyl)-4-[4-(1-imidazolyl)benzoyl]piperazine

To a suspension of 4-(1-imidazolyl)benzoic acid hydrochloride (225mg, 1 mmol.) in 10 dimethylformamide (6ml) was added 1-(5-chlorobenzo[b]furan-2-ylsulphonyl) piperazine (315mg, 1.05 mmol), 1-hydroxybenzotriazole hydrate (150mg, 1 mmol), triethylamine (0.2 ml, 1.5 mmol) and 1-(3-dimethylaminopropyl)-3-ethylcarbodi-imide hydrochloride (EDAC, 210mg, 1.1 mmol), and the resultant suspension stirred overnight. The reaction mixture was poured into water, and the precipitated solid filtered off and washed with water to give (after 15 drying) 550mg of colourless solid.

This was purified by flash chromatography using an ISOLUTE 20g silica column, eluting with dichloromethane containing methanol (2.5%), giving 330mg of essentially pure product. This was crystallised from 2-propanol to give (220 mg, 47% yield)

1-(5-chlorobenzo[b]furan-2-ylsulphonyl)-4-[4-(1-imidazolyl)benzoyl]piperazine as colourless 20 prisms, m.p. 175 - 177 °C, <sup>1</sup>H NMR (d<sub>6</sub>DMSO) 3.3 ppm (sharp s, 4H), 3.4 - 3.8 ppm (broad s, 4H), 7.1ppm (s, 1H), 7.55ppm (d, 2H), 7.6ppm (dd, 1H), 7.7ppm (m, 3H), 7.8ppm (m, 2H), 7.9ppm (d, 1H), 8.3ppm (s, 1H); MS (M+H)<sup>+</sup> 470/472.

The requisite 4-(1-imidazolyl)benzoic acid starting material may prepared as 25 described in J. Med. Chem. 33 1091 (1990).

### Example 3

#### 1-(5-Chloroindol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine

A stirred suspension of 4-(4-pyridyl)benzoic acid (252 mg, 1.27 mmol) in 30 dimethylformamide (10 ml) was treated sequentially with 1-(5-chloroindol-2-ylsulphonyl) piperazine (380mg, 1.27 mmol), 1-hydroxybenzotriazole hydrate (HOBT, 271 mg, 1.77

mmol) and 1-(3-dimethylaminopropyl)-3-ethylcarbodi-imide hydrochloride (EDAC, 291 mg, 1.52 mmol). After stirring overnight the solvent was removed *in vacuo* and the residue taken up in dichloromethane (50ml). This was washed sequentially with water, saturated sodium bicarbonate solution, water and brine. Evaporation of the solvent gave a residue which was chromatographed (MPLC on Merck Art 9385 silica, gradient eluting with dichloromethane containing 0-3.5% v/v of methanol) to yield, after crystallisation from acetone, 1-(5-chloroindol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine as colourless crystals (244 mg), m.p. 185-188 °C, <sup>1</sup>H NMR (d<sub>6</sub>DMSO) 3.0-3.2 ppm (broad s, 4H), 3.4-3.8 ppm (broad s, 4H), 7.0ppm (s, 1H), 7.3ppm (dd, 1H), 7.5ppm (m, 3H), 7.7ppm (m, 2H), 7.8ppm (m, 3H), 8.6ppm (m, 2H), 12.4ppm (broad s, 1H), the spectrum also contained a signal due to acetone, ca 0.5 mol. eq.; Microanalysis, found: C, 59.9; H, 4.4; N, 10.6; S, 6.1 %; C<sub>24</sub>H<sub>21</sub>N<sub>4</sub>O<sub>3</sub>ClS. 0.5C<sub>3</sub>H<sub>6</sub>O requires: C, 60.1; H, 4.7; N, 11.0; S, 6.3 %; MS (M+H)<sup>+</sup> 481/483.

The requisite 1-(5-chloroindol-2-ylsulphonyl) piperazine starting material was prepared as follows 1-(1-Benzenesulphonyl-5-chloroindol-2-ylsulphonyl) piperazine (4.15g, 9.44 mmol) was treated with sodium hydroxide solution (32 ml of 2.5M), giving a yellow suspension. This was warmed to 80°C with vigorous stirring and stirred for 45 mins, giving complete solution. The solution was cooled to ambient temperature and carefully treated with concentrated hydrochloric acid to pH 8; the resultant precipitate was filtered off, washed with water and dried to give 1-(5-chloroindol-2-ylsulphonyl) piperazine as a pale yellow solid, <sup>1</sup>H NMR (d<sub>6</sub>DMSO) 2.75 ppm (m, 4H), 2.9 ppm (m, 4H), 7.0ppm (s, 1H), 7.3ppm (dd, 1H), 7.5ppm (d, 1H), 7.8ppm (d, 1H); MS (M+H)<sup>+</sup> 300/302.

The requisite 1-(1-benzene sulphonyl-5-chloroindol-2-ylsulphonyl) piperazine starting material was prepared as follows. A solution of 1-benzene sulphonyl-5-chloroindol-2-ylsulphonyl chloride (10.0g, 25.6 mmol) in dichloromethane (100ml) was added dropwise to a stirred solution of piperazine (13.23g, 6eq.) in dichloromethane (200ml), and the mixture stirred for a further 2 hrs. The reaction mixture was then washed with water (3x200ml), dried (Phase-Separating paper) and evaporated to give a red oil which was purified by flash chromatography using Merck silica (Art. 9385), eluting with dichloromethane containing

methanol (0-6%), to give 1-(1-benzene sulphonyl-5-chloroindol-2-ylsulphonyl) piperazine as a colourless solid,  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 2.95 ppm (m, 4H), 3.4 ppm (m, 4H), 7.4ppm (m, 4H), 7.55ppm (m, 2H), 8.0ppm (d, 2H), 8.0ppm (d, 1H); MS ( $\text{M}+\text{H}$ ) $^+$  440/442.

- 5           The requisite 1-benzene sulphonyl-5-chloroindol-2-ylsulphonyl chloride starting material may be prepared by a method analagous to that reported in J. Med. Chem. **33** 749 (1990), starting from 5-chloroindole.

#### Example 4

10 **1-(5-Chloroindol-2-ylsulphonyl)-4-[4-(4-pyrimidyl)benzoyl] piperazine**

- By an exactly analogous method, starting from 4-(4-pyrimidyl)benzoic acid, was prepared 1-(5-chloroindol-2-ylsulphonyl)-4-[4-(4-pyrimidyl)benzoyl] piperazine as colourless crystals (230 mg) from acetone, m.p. 229-230 °C,  $^1\text{H}$  NMR ( $\text{d}_6\text{DMSO}$ ) 3.0-3.2 ppm (broad s, 4H), 3.4-3.8 ppm (broad s, 4H), 7.0ppm (s, 1H), 7.3ppm (dd, 1H), 7.5ppm (m, 3H),  
15 7.8ppm (s, 1H), 8.1ppm (d, 1H), 8.2ppm (d, 2H), 8.9ppm (d, 1H), 9.3ppm (s, 1H), 12.4ppm (broad s, 1H), the spectrum also contained a signal due to acetone, ca 0.2 mol. eq.; microanalysis, found: C, 56.7; H, 4.2; N, 14.2; S, 6.5 %;  $\text{C}_{23}\text{H}_{20}\text{N}_5\text{O}_3\text{ClS}$ . 0.2  $\text{C}_3\text{H}_6\text{O}$  requires: C, 57.1; H, 4.2; N, 14.1; S, 6.5 %; MS ( $\text{M}+\text{H}$ ) $^+$  482/484.

20 **Example 5**

**1-(5-Chloroindol-2-ylsulphonyl)-4-[4-(4-pyridazinyl)benzoyl] piperazine**

- By an exactly analogous method, starting from 4-(4-pyridazinyl)benzoic acid, was prepared 1-(5-chloroindol-2-ylsulphonyl)-4-[4-(4-pyridazinyl)benzoyl] piperazine as colourless crystals (370 mg) from acetone, m.p. 170-172 °C,  $^1\text{H}$  NMR ( $\text{d}_6\text{DMSO}$ ) 3.0-3.2 ppm  
25 (broad s, 4H), 3.4-3.8 ppm (broad s, 4H), 7.0ppm (s, 1H), 7.3ppm (d, 1H), 7.5ppm (m, 3H), 7.8ppm (s, 1H), 7.95ppm (d, 2H), 8.0ppm (dd, 1H), 9.3ppm (d, 1H), 9.6ppm (s, 1H), 12.4ppm (broad s, 1H), the spectrum also contained a signal due to acetone, ca 1.0 mol. eq.; MS ( $\text{M}+\text{H}$ ) $^+$  482/484.

**Example 6****1-(5-Chloroindol-2-ylsulphonyl)-4-[4-(1-imidazolyl)benzoyl] piperazine**

By an analogous method, starting from 4-(1-imidazolyl)benzoic acid hydrochloride and 1-(5-chloroindol-2-ylsulphonyl) piperazine, was prepared 1-(5-chloroindol-2-ylsulphonyl)-4-[4-(1-imidazolyl)benzoyl] piperazine (375 mg, 60% yield) as colourless crystals from acetone; m.p. 155-165 °C, <sup>1</sup>H NMR (d<sub>6</sub>DMSO) 3.0-3.2 ppm (broad s, 4H), 3.4-3.8 ppm (broad s, 4H), 7.0ppm (s, 1H), 7.1ppm (s, 1H), 7.3ppm (dd, 1H), 7.5ppm (m, 3H), 7.7ppm (d, 2H), 7.8ppm (m, 2H), 8.3ppm (s, 1H), 12.4ppm (broad s, 1H), the spectrum also contained a signal due to acetone, ca 0.05 mol. eq.; MS (M+H)<sup>+</sup> 470/472.

10

**Example 7****1-(6-Chloroindol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine**

By an exactly analogous method, starting from 4-(4-pyridyl)benzoic acid and 1-(6-chloroindol-2-ylsulphonyl) piperazine, was prepared 1-(6-chloroindol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine as colourless crystals (145 mg) from acetone, m.p. 231-234 °C, <sup>1</sup>H NMR (d<sub>6</sub>DMSO) 3.0-3.2 ppm (broad s, 4H), 3.4-3.8 ppm (broad s, 4H), 7.1ppm (s, 1H), 7.2ppm (dd, 1H), 7.5ppm (m, 3H), 7.7ppm (m, 3H), 7.8ppm (d, 2H), 8.6ppm (d, 2H), 12.4ppm (broad s, 1H), the spectrum also contained a signal due to acetone, ca 0.25 mol. eq.; MS (M+H)<sup>+</sup> 481/483.

20

The requisite 1-(6-chloroindol-2-ylsulphonyl) piperazine starting material was prepared as follows. 1-(1-Benzenesulphonyl-6-chloroindol-2-ylsulphonyl) piperazine (500mg, 1.18 mmol) was treated with sodium hydroxide solution (4 ml of 10M), and the suspension refluxed for 2 hrs. The reaction mixture was cooled to ambient temperature and carefully treated with concentrated hydrochloric acid to pH 8; the resultant precipitate was filtered off, washed with water and dried to give 1-(6-chloroindol-2-ylsulphonyl) piperazine as a pale yellow solid which was used without further purification; <sup>1</sup>H NMR (d<sub>6</sub>DMSO) 3.1 ppm (m, 4H), 3.2 ppm (m, 4H), 7.1ppm (s, 1H), 7.2ppm (dd, 1H), 7.5ppm (s, 1H), 7.7ppm (d, 1H); the spectrum also contained signals due to benzene sulphonic acid (ca 25 mol %); MS (M+H)<sup>+</sup> 300/302.

30



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The requisite 1-(1-benzene sulphonyl-6-chloroindol-2-ylsulphonyl) piperazine starting material was prepared as follows. A solution of 1-benzene sulphonyl-6-chloroindol-2-ylsulphonyl chloride (5.0g, 12.8 mmol) in dichloromethane (50ml) was added dropwise to a stirred solution of piperazine (6.62g, 6eq.) in dichloromethane (100ml), and the mixture  
5 stirred for a further 4 hrs. giving a yellow solution. This was then evaporated and dried overnight under high vacuum. The residue was purified by flash chromatography using Merck silica (Art. 9385), eluting with dichloromethane containing methanol (0-6%), to give 1-(1-benzene sulphonyl-6-chloroindol-2-ylsulphonyl) piperazine as an off-white solid (3.68g, 68% yield); <sup>1</sup>H NMR (CDCl<sub>3</sub>) 2.75 ppm (m, 4H), 3.3 ppm (m, 4H), 7.45ppm (d, 1H), 7.6ppm (m,  
10 3H), 7.7ppm (m, 1H), 7.75ppm (d, 1H), 8.0ppm (d, 2H), 8.15ppm (s, 1H); MS (M+H)<sup>+</sup> 440/442.

The requisite 1-benzene sulphonyl-6-chloroindol-2-ylsulphonyl chloride starting material may be prepared by a method analogous to that reported in J. Med. Chem. **33** 749  
15 (1990), starting from 6-chloroindole.

### Example 8

#### 1-(5-Chlorobenzimidazol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine

A solution of 1-(5-chlorobenzimidazol-2-ylsulphonyl)-4-(t-butyloxycarbonyl)  
20 piperazine (860mg, 2.15 mmol) in dichloromethane/methanol (15ml of 1:1) was treated with an excess of hydrogen chloride gas as a saturated solution in ethyl acetate. After stirring for 4 hrs. the solvent was removed *in vacuo* and the residue dried under high vacuum. This was then suspended in DMF and treated sequentially with 4-(4-pyridyl)benzoic acid (428 mg, 2.15 mmol), triethylamine (0.6 ml, 4.3 mmol) and 1-(3-dimethylaminopropyl)-3-ethylcarbodi-  
25 imide hydrochloride (EDAC, 495 mg, 2.68 mmol). After stirring overnight the solvent was removed *in vacuo* and the residue taken up in dichloromethane (50ml). This was washed sequentially with water, saturated sodium bicarbonate solution, water and brine. Evaporation of the solvent gave a residue which was purified by chromatography (MPLC on Merck Art 9385 silica, gradient eluting with ethyl acetate containing 0-8.0% methanol) to give 1-(5-  
30 chlorobenzimidazol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine as colourless crystals (370 mg) from ethanol, m.p. 242-244 °C, <sup>1</sup>H NMR (d<sub>6</sub>DMSO) 3.0-3.4 ppm (broad s, 4H), 3.4-

3.8 ppm (broad s, 4H), 7.4ppm (d, 1H), 7.5ppm (d, 2H), 7.6-7.8ppm (m, 4H), 7.85ppm (d, 2H), 8.6ppm (d, 2H), 14.0ppm (broad s, 1H); MS (M+H)<sup>+</sup> 482/484.

The requisite 1-(5-chlorobenzimidazol-2-ylsulphonyl)-4-(t-butyloxycarbonyl) piperazine starting material was prepared as follows. A suspension of 5-chloro-2-thiolbenzimidazole (500mg, 2.71 mmol) in acetic acid (2.5 ml) and water (10 ml) was cooled to 5°C and chlorine gas bubbled in slowly, keeping the temperature below 7 °C. The flow of chlorine was maintained until no more was absorbed, and then for a further 15 mins., after which time the reaction was purged with argon. The suspension was filtered off, washed quickly with water and then added in small portions to a stirred, cooled (5°C) solution of N-Boc piperazine (1.26g, 6.78 mmol) in dichloromethane (20 ml). After stirring for 1 hr. At ambient temperature, the reaction mixture was diluted with more dichloromethane (30 ml) and washed sequentially with citric acid solution (30 ml, 1M), sat. brine (30 ml), water (2x30 ml) and sat. brine (30 ml). The solution was dried (Phase-Sep paper) and evaporated to give 1-(5-chlorobenzimidazol-2-ylsulphonyl) 4-(t-butyloxycarbonyl) piperazine as a brown foam (880 mg, 81% yield), which was used without further purification; <sup>1</sup>H NMR (CDCl<sub>3</sub>) 1.4ppm (s, 9H), 3.4 ppm (m, 4H), 3.6 ppm (m, 4H), 7.4ppm (d, 1H), 7.4-7.6ppm (broad s, 1H), 7.7-7.9ppm (broad s, 1H); MS (M+H)<sup>+</sup> 401/403 (w), (M+H - 56)<sup>+</sup> 345/347 (s).

## 20 Example 9

### 1-(5-Bromoindol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl]piperazine

By a method analogous to that described in Example 3 starting from 4-(4-pyridyl)benzoic acid (199 mg, 1 mmol) and 1-(5-bromoindol-2-ylsulphonyl) piperazine (344 mg, 1 mmol, 1 mol eq.), was prepared 1-(5-bromoindol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl]piperazine methane sulphonic acid salt, (155mg), <sup>1</sup>H NMR (d<sub>6</sub>-DMSO) 2.3 (s,3H), 3.0-3.3 (broad d,4H), 3.4-3.8 (broad d,4H), 7.0 (d,1H), 7.45 (s,2H), 7.6 (d,2H), 7.95 (s,1H), 8.0 (d,2H), 8.25 (d,2H), 8.9 (d,2H), 12.4 (s,1H), signals were also present due to ethanol (0.15 mol equiv.); MS (M+H)<sup>+</sup> 525/527.

**Example 10****1-(5-Chloroindol-2-ylsulphonyl)-4-[4-(6-oxo-1H-pyridazin-3-yl) benzoyl]piperazine**

- By a method analogous to that described in Example 3 starting from 4-(6-oxo-1H-pyridazin-3-yl) benzoic acid (302mg, 1.4mmol) and 1-(5-chloroindol-2-ylsulphonyl)-
- 5 piperazine (419mg, 1.4mmol, 1.0 mol eq.) was prepared 1-(5-chloroindol-2-ylsulphonyl)-4-[4-(6-oxo-1H-pyridazin-3-yl) benzoyl]piperazine(234mg) as an off white solid. <sup>1</sup>H NMR (300MHz, d<sub>6</sub>-DMSO) 3.1 (s, 4H, under H<sub>2</sub>O), 3.6 (bs, 4H), 6.9 (d, 1H), 7.0 (s, 1H), 7.3 (dd, 1H), 7.4 (d, 2H), 7.5 (d, 1H), 7.8 (s, 1H), 7.9 (d, 2H), 8.0 (d, 1H), 12.2 (bs, 1H), 13.1 (bs, 1H), signals were also present due to dichloromethane (1 mol equ.); MS (MH)<sup>+</sup> 496/498.
- 10 4-(3-1H-pyrazin-6-onyl)-benzoic acid was prepared by the method described by: Coates, W. J.; McKillop, A., *Synthesis*, **1993**, 334-342.

**Example 11**

## 15 Method A:

The reaction is performed in a manner analogous to that described in **Example 2**, using the appropriate starting materials.

## Method B:

- 20 In a typical example excess methylamine gas (or other appropriate amine) was added to a solution of 1-(5-chloroindol-2-ylsulphonyl)-4-[(6-methylsulfonylpyrimidin-4-yl)benzoyl]piperazine (or the 2-methylsulfonylpyrimidinyl isomer) in THF or similar appropriate solvent. The solution was stirred at ambient or elevated temperature until TLC analysis indicated that the starting material had been consumed. The solution was
- 25 concentrated *in vacuo* and the residue purified by column chromatography on silica. Where appropriate, the resultant free base was dissolved in 2:1 dichloromethane/methanol (20 mL) and treated with excess methanolic hydrogen chloride. The mixture was concentrated *in vacuo* to give the product as a near colourless foam, which could be crystallised, typically from aqueous ethanol.

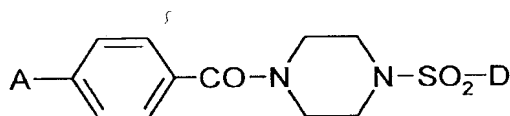
- 25 -

## Method C:

To a solution of 1-(5-chloroindol-2-ylsulphonyl)-4-[(2-*tert*-butoxy-5  
pyrimidin-4-yl)benzoyl]piperazine (200mg, 0.361 mmol) in dichloromethane and methanol (10ml of a 4:1  
mixture) was added a solution of hydrogen chloride in methanol (0.40 ml of ~4.5 M, 1.8  
mmol), and the reaction stirred at ambient temperature for 1 hr. The solvent was removed in  
vacuo and the residue crystallised from ethanol to give 1-(5-chloroindol-2-ylsulphonyl)-  
4-[(2-hydroxypyrimidin-4-yl)benzoyl]piperazine as a colourless solid.

From the above methods the following examples were prepared:

10



No	A	D	Method	MS: m/z	<sup>1</sup> H NMR (NMR, solvent)
1	4-pyridyl	5-fluoro-2-indolyl	A	(M+H) <sup>+</sup> 465.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 3.0-3.2 ppm (broad s, 4H), 3.4-3.7 ppm (broad s, 4H), 7.0 ppm (s, 1H), 7.2 ppm (t of d, 1H), 7.5 ppm (m, 4H), 7.7 ppm (d, 2H), 7.8 ppm (d, 2H), 8.6 ppm (d, 2H), 12.3 ppm (broad s, 1H); the spectrum also contained signals due to acetone (0.33 mol eq).
2	4-pyridyl	5-bromo-2-indolyl	A	(M+H) <sup>+</sup> 525/527.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.3 ppm (s, 3H), 3.3 - 3.5 ppm (broad s, 4H), 3.5-3.8 ppm (broad s, 4H), 7.0 ppm (s, 1H), 7.4 ppm (s, 2H), 7.6 ppm (d, 2H), 7.9 ppm (s, 1H), 8.0 ppm (d, 2H), 8.3 ppm (d, 2H), 8.9 ppm (d, 2H), 12.3 ppm (broad s, 1H); the spectrum also contained signals due to ethanol (0.15 mol eq).
3	2-pyridyl	5-chloro-2-indolyl	A	(M+H) <sup>+</sup> 481/483	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 3.0-3.2 ppm (broad s, 4H), 3.4-3.8 ppm (broad s, 4H), 7.0 ppm (s, 1H), 7.3 ppm (m, 2H), 7.5 ppm (m, 3H), 7.8 ppm (s, 1H), 7.9 ppm (m, 1H), 8.0 ppm (d, 1H), 8.1 ppm (d, 2H), 8.7 ppm (d, 1H), 12.4 ppm (broad s, 1H); the spectrum also contained signals due to ethanol (1 mol eq).
4	1-imidazolyl	5-bromo-2-indolyl	A	(M+H) <sup>+</sup> 514/516	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.9-3.2 ppm (broad s, 4H), 3.2-3.8 ppm (broad s, 4H), 7.0 ppm (s, 1H), 7.4 ppm (dd, 2H), 7.6 ppm (d, 2H), 7.8 ppm (s, 1H and d, 2H), 7.9 ppm (s, 1H), 8.3 ppm (s, 1H), 9.6 ppm (s, 1H), 12.4 ppm (broad s, 1H); the spectrum also contained signals due to ethanol (0.15 mol eq).
5	2-methyl-1-imidazolyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 484/486 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.54 ppm (s, 3H), 3.14 ppm (s, 4H), 3.56 ppm (s, 4H), 7.01 ppm (s, 1H), 7.29 ppm (d, 1H), 7.52 ppm (d, 1H), 7.61 ppm (m, 6H), 7.74 ppm (s, 2H).
6	2-imidazolyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 470/472 (xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 2.54-3.19 ppm (broad s, 4H), 3.67 ppm (broad s, 4H), 7.01 ppm (s, 1H), 7.31 ppm (dxd, 1H), 7.50 ppm (d, 1H), 7.60 ppm (d, 2H), 7.78 ppm (d, 2H), 7.80 ppm (s, 1H), 8.14 ppm (d, 2H), 12.41 (broad s, 1H).

7	4-imidazolyl	5-chloro-2-indolyl	A	(M+H) <sup>+</sup> 470/472.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 3.05-3.15 ppm (broad s, 4H), 3.5-3.7 ppm (broad s, 4H), 7.0 ppm (s, 1H), 7.3 ppm (dd, 2H), 7.5 ppm (m, 3H), 7.8 ppm (m, 3H), 8.15 ppm (s, 1H), 9.0 ppm (s, 1H), 12.4 ppm (broad s, 1H).
8	4-imidazolyl	5-bromo-2-indolyl	A	(M+H) <sup>+</sup> 514/516.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.3 ppm (s, 3H), 3.2-3.8 ppm (broad s, 8H), 7.0 ppm (s, 1H), 7.45 ppm (d, 2H), 7.5 ppm (d, 2H), 7.8 ppm (d, 2H), 7.9 ppm (s, 1H), 8.2 ppm (s, 1H), 9.2 ppm (s, 1H), 12.4 ppm (broad s, 1H).
9	1-methyl-4-imidazolyl	5-chloro-2-indolyl	A	(M+H) <sup>+</sup> 484/486.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 3.0-3.2 ppm (broad s, 4H), 3.3-3.8 ppm (broad s, 4H), 3.9 ppm (s, 3H), 7.0 ppm (s, 1H), 7.3 ppm (dd, 1H), 7.5 ppm (m, 3H), 7.8 ppm (s, 1H), 7.9 ppm (d, 2H), 8.2 ppm (s, 1H), 9.15 ppm (s, 1H), 12.4 ppm (broad s, 1H); the spectrum also contained signals due to acetone (0.5 mol eq).
10	2-methyl-4-imidazolyl	5-chloro-2-benzofuranyl	A	(M+H) <sup>+</sup> 485/487.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.6 ppm (s, 3H), ~3 ppm (broad s, 4H), 3.4-3.8 ppm (broad s, 4H), 7.5 ppm (d, 2H), 7.6 ppm (dd, 1H), 7.65 ppm (s, 1H), 7.8 ppm (m, 3H), 7.9 ppm (d, 1H), 8.1 ppm (s, 1H).
11	2-methyl-4-imidazolyl	5-chloro-2-indolyl	A	(M+H) <sup>+</sup> 484/486.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.3 ppm (s, 3H), 3.0 - 3.1 ppm (broad s, 4H), 3.5-3.7 ppm (broad s, 4H), 7.0 ppm (s, 1H), ~7.3 ppm (m, 3H), 7.5 ppm (d, 2H), 7.7 ppm (br d, 2H), 7.8 ppm (d, 1H), 11.85 ppm (broad s, 1H), 12.4 ppm (broad s, 1H).
12	2-methyl-4-imidazolyl	5-bromo-2-indolyl	A	(M+H) <sup>+</sup> 528/530.	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.6 ppm (s, 3H), 3.0-3.2 ppm (broad s, 4H), 3.6-3.9 ppm (broad s, 4H), 7.0 ppm (s, 1H), 7.4-7.5 ppm (m, 4H), 7.85 ppm (d, 2H), 7.95 ppm (s, 1H), 8.1 ppm (s, 1H), 12.4 ppm (s, 1H), 14.3-15.0 ppm (broad s, 1H); the spectrum also contained signals due to ethanol (0.5 mol eq).
13	2-amino-4-imidazolyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 485/487 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 3.10 ppm (s, 4H), 3.55 ppm (broad s, 4H), 7.02 ppm (s, 1H), 7.32 ppm (dxd, 1H), 7.42 ppm (d, 2H), 7.48 ppm (m, 2H), 7.65 ppm (m, 4H), 7.80 ppm (d, 1H), 12.21 ppm (broad s, 1H), 12.43 ppm (d, 1H), 12.92 ppm (broad s, 1H).

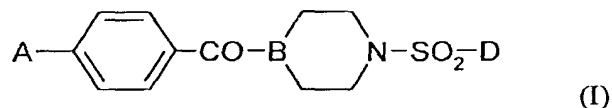
14	6-hydroxy-3-pyridazinyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 496/498 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 3.10ppm (s, 4H, under H <sub>2</sub> O), 3.57ppm (broad s, 4H), 6.95ppm (d, 1H), 7.02ppm (s, 1H), 7.31ppm (dxd, 1H), 7.43ppm (d, 2H), 7.49ppm (d, 1H), 7.75ppm (s, 1H), 7.85ppm (d, 2H), 7.98ppm (d, 1H), 12.23ppm (s, 1H), 13.08ppm (s, 1H). Signal also present consistent with dichloromethane (1 mol).
15	6-hydroxy-3-pyridazinyl	5-chloro-2-benzofuranyl	A	(MH) <sup>+</sup> 499/501 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 3.21ppm (s, 4H, under H <sub>2</sub> O), 3.46ppm (broad s, 4H), 6.92ppm (d, 1H), 7.42ppm (d, 2H), 7.53ppm (d, 1H), 7.59ppm (d, 1H), 7.76ppm (s, 1H), 7.81ppm (m, 3H), 7.96ppm (d, 1H), 13.14ppm (s, 1H) ppm
16	6-hydroxy-3-pyridazinyl	5-chloro-2-benzimidazolyl	A	(MH) <sup>+</sup> 499/501 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 3.42ppm (s, 4H, under H <sub>2</sub> O), 3.64ppm (s, 4H), 6.98ppm (d, 1H), 7.39ppm (d, 1H), 7.50ppm (d, 2H), 7.75ppm (m, 2H), 7.89ppm (d, 2H), 7.96ppm (d, 1H), 12.92ppm (s, 1H).
17	6-dimethylamino-3-pyridazinyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 525/527 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 3.12ppm (s, 4H), 3.25ppm (s, 6H), 3.59ppm (broad s, 4H, under water), 7.01ppm (s, 1H), 7.32ppm (dxd, 1H), 7.50ppm (m, 3H), 7.70ppm (d, 1H), 7.78ppm (s, 1AH), 8.04ppm (d, 2H), 8.28ppm (d, 1H), 12.42ppm (s, 1H).
18	6-chloro-3-pyridazinyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 523/525 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 1.43ppm (m, 2H), 1.60ppm (m, 2H), 2.89ppm (m, 3H), 2.97ppm (s, 4H), 3.52ppm (s, 2H), 3.62ppm (s, 2H), 4.23ppm (d, 2H), 7.00ppm (s, 1H), 7.30ppm (m, 2H), 7.45ppm (t, 2H), 7.76ppm (d, 1H).
19	6-amino-3-pyridazinyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 497/499 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 3.13ppm (s, 4H), 3.59ppm (broad s, 4H under water), 7.03ppm (s, 1H), 7.33ppm (d, 1H), 7.40ppm (d, 1H), 7.49ppm (m, 3H), 7.79ppm (s, 1H), 7.96ppm (d, 2H), 8.19ppm (broad s, 2H), 8.27ppm (d, 1H) 12.41ppm (s, 1H).
20	6-methylamino-3-pyridazinyl	5-chloro-2-indolyl	A	(MH) <sup>+</sup> 522/524 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> -DMSO) 2.38ppm (s, 3H), 3.17ppm (m, 4H), 3.58ppm (m, 4H under water), 7.00ppm (s, 1H), 7.28ppm (dxd, 1H), 7.53ppm (t, 4H), 7.73ppm (s, 1H), 7.97ppm (d, 2H), 8.21ppm (d, 1H), 12.10ppm (broad s, 1H).

21	6-dimethylamino-4-pyrimidinyl	5-chloro-2-indolyl	B	525.2/527.1 (M+H) <sup>+</sup>	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.95-3.25 ppm (m, 5H), 3.32 ppm (s, 6H), 3.32-3.85 ppm (m, 4H under water), 7.00 ppm (s, 1H), 7.25-7.35 ppm (m, 2H), 7.45-7.55 ppm (d, 1H), 7.55-7.62 ppm (d, 2H), 7.80 (s, 1H), 8.00-8.10 ppm (d, 2H), 8.80 ppm (s, 1H), 12.5 ppm (s, 1H) spectrum contains iso-propanol.
22	6-amino-4-pyrimidinyl	5-chloro-2-indolyl	B	(MH) <sup>+</sup> 497/499 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 2.9-3.3 ppm (broad s, 4H), 3.5 - 4.0 ppm (broad s, 4H), 7.0 ppm (s, 1H and s, 1H), 7.3 ppm (dd, 1H), 7.5 ppm (d, 1H), 7.6 ppm (d, 2H), 7.8 ppm (s, 1H), 7.9 ppm (d, 2H), 8.7 ppm (s, 1H), 8.8 ppm (br s, 2H), 12.4 ppm (s, 1H).
23	6-methylamino-4-pyrimidinyl	5-chloro-2-indolyl	B	(MH) <sup>+</sup> 511/513 (1xCl)	<sup>1</sup> H NMR (300MHz, d <sub>6</sub> -DMSO) 2.32 (s, 3H), 3.05 (broad s, 4H), 3.30-3.85 (m, 4H), 6.94-7.05 (m, 1.7H), 7.14 (s, 0.3H), 7.32 (dd, 1H), 7.50 (d, 1H), 7.62 (d, 2H), 7.75-7.91 (m, 2.3H), 7.95-8.07 (m, 0.7H), 8.70 (s, 0.3H), 8.86 (s, 0.7H), 9.37 (s, 1H), 12.38 (s, 1H) ppm.
24	2-hydroxy-5-pyrimidinyl	5-chloro-2-indolyl	C	(MH) <sup>+</sup> 498/500 (1xCl)	<sup>1</sup> H NMR (d <sub>6</sub> DMSO) 3.0-3.2 ppm (broad s, 4H), 3.4 - 3.7 ppm (broad s, 4H), 7.0 ppm (d, 1H), 7.3 ppm (dd, 1H), 7.4 ppm (d, 2H), 7.5 ppm (d, 1H), 7.65 ppm (d, 2H), 7.8 ppm (s, 1H), 8.6 ppm (br s, 2H), 12.4 ppm (s, 1H); the spectrum also contained signals due to ethanol (0.5 mol eg).



CLAIMS

1. A compound of formula (I)



wherein:

- A is a 5- or 6-membered monocyclic aromatic ring containing 1, 2 or 3 ring heteroatoms selected from nitrogen, oxygen and sulphur atoms and is unsubstituted or is substituted by
- 10 one, two or three atoms or groups selected from halo, oxo, carboxy, trifluoromethyl, cyano, amino, hydroxy, nitro, C<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxy, C<sub>1-4</sub>alkoxycarbonyl, C<sub>1-4</sub>alkylamino, di-C<sub>1-4</sub>alkylamino or aminoC<sub>1-4</sub>alkyl;
- the 1,4-phenylene ring of a compound of formula (I) is either unsubstituted or is substituted
- 15 by one or two substituents selected from halo, trifluoromethyl, trifluoromethoxy, cyano, nitro, C<sub>1-4</sub>alkyl, C<sub>2-4</sub>alkenyl and C<sub>2-4</sub>alkynyl, from the substituent -(CH<sub>2</sub>)<sub>n</sub> Y<sup>1</sup> wherein n is 0-4 and Y<sup>1</sup> is selected from hydroxy, amino, carboxy, C<sub>1-4</sub>alkoxy, C<sub>2-4</sub>alkenyloxy, C<sub>2-4</sub>alkynyloxy, C<sub>1-4</sub>alkylamino, di-C<sub>1-4</sub>alkylamino, pyrrolidin-1-yl, piperidino, morpholino, thiomorpholino, 1-oxothiomorpholino, 1,1-dioxothiomorpholino, piperazin-1-yl, 4-C<sub>1-4</sub>alkylpiperazin-1-yl,
- 20 C<sub>1-4</sub>alkylthio, C<sub>1-4</sub>alkylsulphinyl, C<sub>1-4</sub>alkylsulphonyl, C<sub>2-4</sub>alkanoylamino, benzamido, C<sub>1-4</sub>alkylsulphonamido and phenylsulphonamido, from the substituent -(CH<sub>2</sub>)<sub>n</sub> Y<sup>2</sup> wherein n is 0-4 and Y<sup>2</sup> is selected from carboxy, carbamoyl, C<sub>1-4</sub>alkoxycarbonyl, N-C<sub>1-4</sub>alkylcarbamoyl, N,N-di-C<sub>1-4</sub>alkylcarbamoyl, pyrrolidin-1-ylcarbonyl, piperidinocarbonyl, morpholinocarbonyl, thiomorpholinocarbonyl, 1-oxothiomorpholinocarbonyl,
- 25 1,1-dioxothiomorpholinocarbonyl, piperazin-1-ylcarbonyl, 4-C<sub>1-4</sub>alkylpiperazin-1-ylcarbonyl, C<sub>1-4</sub>alkylsulphonamidocarbonyl, phenylsulphonamidocarbonyl and benzylsulphonamidocarbonyl, from a substituent of the formula -X<sup>3</sup>-L<sup>2</sup>-Y<sup>2</sup> wherein X<sup>3</sup> is a group of the formula CON(R<sup>5</sup>), CON(L<sup>2</sup>-Y<sup>2</sup>), C(R<sup>5</sup>)<sub>2</sub>O, O, N(R<sup>5</sup>) or N(L<sup>2</sup>-Y<sup>2</sup>), L<sup>2</sup> is

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C<sub>1-4</sub>alkylene, Y<sup>2</sup> has any of the meanings defined immediately hereinbefore and each R<sup>5</sup> is independently hydrogen or C<sub>1-4</sub>alkyl, and from a substituent of the formula -X<sup>3</sup>-L<sup>3</sup>-Y<sup>1</sup> wherein X<sup>3</sup> is a group of the formula CON(R<sup>5</sup>), CON(L<sup>3</sup>-Y<sup>1</sup>), C(R<sup>5</sup>)<sub>2</sub>O, O, N(R<sup>5</sup>) or N(L<sup>3</sup>-Y<sup>1</sup>), L<sup>3</sup> is C<sub>2-4</sub>alkylene, Y<sup>1</sup> has any of the meanings defined immediately hereinbefore and each R<sup>5</sup> is independently hydrogen or C<sub>1-4</sub>alkyl, and wherein any heterocyclic group in a substituent of the 1,4-phenylene ring of compounds of formula (I) optionally bears 1 or 2 substituents selected from carboxy, carbamoyl, C<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxycarbonyl, N-C<sub>1-4</sub>alkylcarbamoyl and N,N-di-C<sub>1-4</sub>alkylcarbamoyl, and wherein any phenyl group in a substituent of the 1,4-phenylene ring of compounds of formula (I) optionally bears 1 or 2 substituents selected from halo, trifluoromethyl, cyano, C<sub>1-4</sub>alkyl, C<sub>2-4</sub>alkenyl, C<sub>2-4</sub>alkynyl, C<sub>1-4</sub>alkoxy, C<sub>2-4</sub>alkenyloxy and C<sub>2-4</sub>alkynyloxy;

B is CH or N;

the heterocyclic ring containing B is either unsubstituted or is substituted by one or two substituents selected from hydroxy, oxo, carboxy and C<sub>1-4</sub>alkoxycarbonyl; or one of the following:  
 -(CH<sub>2</sub>)<sub>n</sub>-R, -(CH<sub>2</sub>)<sub>n</sub>-NRR<sup>1</sup>, -CO-R, -CO-NRR<sup>1</sup>, -(CH<sub>2</sub>)<sub>n</sub>-CO-R and -(CH<sub>2</sub>)<sub>n</sub>-CO-NRR<sup>1</sup>;  
 wherein n is 0, 1 or 2, preferably n is 1 or 2;  
 R and R<sup>1</sup> are independently selected from hydrogen, C<sub>1-4</sub>alkyl, C<sub>2-4</sub>alkenyl, C<sub>2-4</sub>alkynyl, hydroxyC<sub>1-4</sub>alkyl, carboxyC<sub>1-4</sub>alkyl and C<sub>1-4</sub>alkoxycarbonylC<sub>1-4</sub>alkyl or where possible R and R<sup>1</sup> may together form a 5- or 6-membered optionally substituted saturated or partially unsaturated heterocyclic ring which may include in addition to the nitrogen to which R and R<sup>1</sup> are attached 1 or 2 additional heteroatoms selected from nitrogen, oxygen and sulphur;

25

D is 2-indolyl, 2-benzimidazolyl, 2-benzo[b]furanyl, 2-pyrrolo[2,3-b]pyridyl, 2-furo[2,3-b]pyridyl or 6-7H-cyclopenta[b]pyridyl and is unsubstituted or is substituted by one, two or three substituents selected from halo, trifluoromethyl, trifluoromethoxy, cyano, hydroxy, oxo, amino, nitro, trifluoromethylsulphonyl, carboxy, carbamoyl, C<sub>1-4</sub>alkyl, C<sub>2-4</sub>alkenyl, C<sub>2-4</sub>alkynyl, C<sub>1-4</sub>alkoxy, C<sub>2-4</sub>alkenyloxy, C<sub>2-4</sub>alkynyloxy, C<sub>1-4</sub>alkylthio, C<sub>1-4</sub>alkylsulphinyl, C<sub>1-4</sub>alkylsulphonyl, C<sub>1-4</sub>alkylamino, di-C<sub>1-4</sub>alkylamino, C<sub>1-4</sub>alkoxycarbonyl,

30

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N-C<sub>1-4</sub>alkylcarbamoyl, N,N-di-C<sub>1-4</sub>alkylcarbamoyl, C<sub>2-4</sub>alkanoyl, C<sub>2-4</sub>alkanoylamino, hydroxyC<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxyC<sub>1-4</sub>alkyl, carboxyC<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxycarbonylC<sub>1-4</sub>alkyl, carbamoylC<sub>1-4</sub>alkyl, N-C<sub>1-4</sub>alkylcarbamoylC<sub>1-4</sub>alkyl, N,N-di-C<sub>1-4</sub>alkylcarbamoylC<sub>1-4</sub>alkyl, phenyl, heteroaryl, phenoxy, phenylthio, phenylsulphinyl, phenylsulphonyl, benzyl, benzoyl, heteroaryloxy, heteroarylthio, heteroarylsulphinyl and heteroarylsulphonyl, and wherein said heteroaryl substituent or the heteroaryl group in a heteroaryl-containing substituent is a 5- or 6-membered monocyclic heteroaryl ring containing up to 3 heteroatoms selected from nitrogen, oxygen and sulphur, and wherein said phenyl, heteroaryl, phenoxy, phenylthio, phenylsulphinyl, phenylsulphonyl, heteroaryloxy, heteroarylthio, heteroarylsulphinyl, heteroarylsulphonyl, benzyl or benzoyl substituent optionally bears 1, 2 or 3 substituents selected from halo, trifluoromethyl, cyano, hydroxy, amino, nitro, carboxy, carbamoyl, C<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxy, C<sub>1-4</sub>alkylamino, di-C<sub>1-4</sub>alkylamino, C<sub>1-4</sub>alkoxycarbonyl, N-C<sub>1-4</sub>alkylcarbamoyl, N,N-di-C<sub>1-4</sub>alkylcarbamoyl and C<sub>2-4</sub>alkanoylamino; and excluding the compound 1-(5-chlorobenzofuran-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine; and pharmaceutically-acceptable salts thereof.

2. A compound of formula (I) as claimed in claim 1 wherein A is a pyridyl, pyrimidinyl, imidazolyl or pyridazinyl ring.

20

3. A compound of formula (I) as claimed in claim 2 wherein A is 2-pyridyl, 3-pyridyl, 4-pyridyl 3-pyridazinyl, 4-pyridazinyl, 4-pyrimidinyl, 5-pyrimidinyl, 1-imidazolyl, 2-imidazolyl or 4-imidazolyl.

25 4. A compound of formula (I) as claimed in any claim from 1 to 3 wherein A is substituted by C<sub>1-4</sub>alkyl, amino and halo.

5. A compound of formula (I) as claimed in any claim from 1 to 3 wherein A is unsubstituted.

30

6. A compound of formula (I) as claimed in any claim from 1 to 5 wherein the

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1,4-phenylene ring is substituted by oxo, carboxy, C<sub>1-4</sub>alkoxy or C<sub>1-4</sub>alkoxycarbonyl.

7. A compound of formula (I) as claimed in any claim from 1 to 5 wherein the 1,4-phenylene ring is unsubstituted.

5

8. A compound of formula (I) as claimed in any claim from 1 to 7 wherein the heterocyclic ring containing B is substituted by oxo, carboxy, C<sub>1-4</sub>alkoxy or C<sub>1-4</sub>alkoxycarbonyl.

10 9. A compound of formula (I) as claimed in any claim from 1 to 7 wherein the heterocyclic ring containing B is unsubstituted.

10. A compound of formula (I) as claimed in any claim from 1 to 9 wherein D is substituted by halo.

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11. A compound of formula (I) as claimed in any claim from 1 to 9 wherein D is substituted by bromo or chloro.

12. A compound of formula (I) as claimed in claim 1 wherein:

20 A is pyridyl, pyrimidinyl, imidazolyl or pyridazinyl;

B is N;

D is 2-indolyl or 2-benzo[b]furanyl both optionally substituted by fluoro, chloro or bromo; and pharmaceutically-acceptable salts thereof.

25 13. 1-(5-Chloroindol-2-ylsulphonyl)-4-[4-(4-pyridyl)benzoyl] piperazine or a pharmaceutically-acceptable salts thereof.

14. 1-(5-Chloroindol-2-ylsulphonyl)-4-[4-(1-imidazolyl)benzoyl] piperazine or a pharmaceutically-acceptable salts thereof.

30

15. A compound of formula (I), as defined in any claim from 1 to 14, or a pharmaceutically-acceptable salt thereof for use in medical therapy.
16. A pharmaceutical composition comprising a compound of formula (I), or a  
5 pharmaceutically-acceptable salt thereof, as defined in any claim from 1 to 14, with a pharmaceutically-acceptable diluent or carrier.
17. Use of a compound of formula (I), as defined in any claim from 1 to 14, or a pharmaceutically-acceptable salt thereof, in the preparation of a medicament for use in a  
10 method of treating a Factor Xa mediated disease or condition.
18. A method of treating a Factor Xa mediated disease or condition in a warm-blooded animal comprising administering an effective amount of a compound of formula (I), as defined in any claim from 1 to 14, or a pharmaceutically-acceptable salt thereof.

PCT

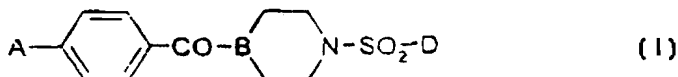
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(54) Title: HETEROCYCLIC DERIVATIVES WHICH INHIBIT FACTOR XA



(57) Abstract

The invention relates to heterocyclic derivatives of formula (I), or pharmaceutically-acceptable salts thereof, which possess antithrombotic and anticoagulant properties and are accordingly useful in methods of treatment of humans or animals. The invention also relates to processes for the preparation of the heterocyclic derivatives, to pharmaceutical compositions containing them and to their use in the manufacture of medicaments for use in the production of an antithrombotic or anticoagulant effect.

FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63) 01040 PM & S  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Z70339/UST

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED HETEROCYCLIC  
DERIVATIVES WHICH INHIBIT FACTOR XA

the specification of which (CHECK applicable BOX(ES))  
X A. ☐ is attached hereto.  
BOX(ES) → B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_  
→ C. ☒ was filed as PCT International Application No. PCT/GB99/ 01308 on 27.04.1999  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)						
Number	Country	Day/MONTH/Year Filed	Date first Laid-open or Published	Date Patented or Granted	Priority NOT Claimed	
9809351.1	GB	02.05.1998				
9903337.5	GB	16.02.1999				

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)			
Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status	Priority NOT Claimed
		pending, abandoned, patented	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury, Madison & Sutro LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

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FOR ADDITIONAL INVENTORS, "X" box ☒ and proceed on the attached page to list each additional inventor.  
☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. PM

(M#)

DECLARATION AND POWER OF ATTORNEY  
(continued)  
ADDITIONAL INVENTORS

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Residence		State/Foreign Country		Country of Citizenship	
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Residence		State/Foreign Country		Country of Citizenship	
City					
Post Office Address					
(include Zip Code)					

(9) INVENTOR'S SIGNATURE:

Date:

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Residence		State/Foreign Country		Country of Citizenship	
City					
Post Office Address					
(include Zip Code)					